



# Alabama State Board of Public Accountancy

# NEWSLETTER



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## Alabama State Board of Public Accountancy

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## REGISTRATION IS APPROACHING

***All CPAs, PAs, NLOs and Firms must submit a 2024-2025  
Registration Form no later than December 31, 2024.***

*Check out the important reminders on page 2.*



# IMPORTANT REGISTRATION INFORMATION

## Registration Forms

The 2024-2025 paper Registration forms and online links are now available on the Registration page of the Board's website. All CPAs, PAs, NLOs and Firms must submit a 2024-2025 Registration Form no later than December 31, 2024. Forms submitted after December 31, 2024 are subject to a late renewal penalty of \$100. Forms submitted after February 28, 2025 are subject to a late renewal penalty of \$500. Disciplinary action will be taken for anyone who has not registered after March 31, 2025.



## CPE Extensions

CPE must have been completed by September 30, 2024. If you find that you did not complete the required hours, please email Ashley Sides to request a CPE extension. In your email, please include your name, certificate number, the date by which you plan to have your CPE completed, and the reason you need the extension. CPE extensions that extend beyond December 31, 2024 will mean that your late registration will be assessed the applicable late penalty.



## Instructional Video

A helpful video is available on our website to assist with any questions you may have with creating an account and/or uploading your CPE for the online registrations. The link to this video can be found on the Registration page of the Board's website.



## False Attestations

The Board strongly advises licensees who have not completed their required CPE to reach out to the Board office. Do not falsely attest completion of CPE courses in order to renew your license as active. Licensees who falsely attest to completion of CPE could face disciplinary action that may include possible censure or revocation, making up the deficient CPE hours, completion of an additional ethics course and substantial fines. The Board encourages licensees to change their status and renew as inactive status rather than falsely attest CPE completion. (Please refer to Board Rules pertaining to Inactive status.) If you have any questions regarding registration, please contact the Board office.



## CPE Reporting

CPE reporting is completed on your annual registration form each year. Please make sure to have your CPE certificates of completion in hand when you complete the CPE reporting portion of your registration. Make sure that you have independently verifiable evidence of completion for 40 hours of CPE, including 8 hours of accounting and auditing CPE and 2 hours of ethics CPE.





# BOARD MEMBER SPOTLIGHT

## Wim Schaffers

Wilhelmus (Wim) Schaffers is in his second term and eighth year as a Board member of the Alabama State Board of Public Accountancy ("ASBPA"), having served the board since his appointment in 2017.

Wim attended the University of Delaware and received his bachelor's degree in Accounting from Kennesaw State University in Atlanta. After graduation he began his career with KPMG in 1990 and joined EY in 1996. Wim passed the CPA examination in 1990 and became a certified public accountant in 1992 after completing 2 years of public accounting (the requirement at the time). Wim spent the majority of his time in public accounting with EY and was admitted to the partnership in 2003. Throughout his career, Wim has served clients in the highly regulated insurance industry, including large public private multi-national companies. Wim was also involved in EY's Audit Quality Review Program and led review teams globally.

Wim retired from EY in 2021 to join an Insurtech start-up company, Olé Insurance Group (Olé) as its Chief Financial Officer. Olé was founded in 2000 to bring an affordable, digital US dollar-based term life insurance product to LATAM and the Caribbean. As CFO, Wim built the accounting and finance teams and developed the processes and procedures for these departments. Wim is also heavily involved in strategy and product development for Olé. To date, Olé has over 3,000 licensed agents and sales in 30 countries in LATAM and the Caribbean. Wim is enjoying his "retirement career" helping grow Olé into a



respected brand in the Latin American community.

Wim has also been actively involved with the National Association of State Boards of Public Accountancy (NASBA), having served on their Enforcement Resources Committee and the Standard-Setting and Professional Trends Advisory Committee. In October of this year, Wim was selected as NASBA's Southeast Regional Director where he will serve as a liaison for the southeastern state boards to the NASBA Board. As a Regional Director, Wim will also serve as a board member for NASBA and was selected to Chair NASBA's Audit Committee.

Wim and his wife, Karen, have been married for 31 years and live in Birmingham. Karen is a graduate of Florida State University and is a retired teacher. Wim and Karen have four children. Their daughter, Jacquie is a graduate of Auburn University and is a teacher for the Jefferson County School System in Birmingham. She and her husband, Hugh, live in Vestavia, AL. Their son, Johnny, earned his undergraduate and MAcc degrees in Accounting from Auburn University and works for FTI Consulting. He and his wife, Clara, live in Marietta, GA. Their daughter, Kara, graduated with a nursing degree from Troy University and is an ER nurse at Piedmont Hospital. She and her husband, Jackson, live in Atlanta, GA. Their son, Cote, is also a graduate of Auburn University with a degree in Finance and works for JJ Kane in Little Rock, AK. Wim and Karen love to travel, hike and spend time with family.



# NEW CPAS

Hayley Johnston Lowe  
Charles Jesse Nash  
Jessica Rose Filosa  
Carrie Turner McElroy  
Riley Thomas Shrode  
James Lloyd Worrell  
Xun Chen  
Hallie Marie Field  
Jonathon Russell Hand Jr  
Lucas Allen Pennington  
Catherine Jayne Yeilding  
Tyler Coleman Williams  
William Taylor Bradfor Jr  
Grayson Scott Bray  
Jacob Corey Harrison  
Joshua David Mann

Benjamin Lewis Bradley III  
Patrick Hudson Harris  
Peggy Renee Pickle  
Richard Earl Simmons IV  
Hunter Blake Webster  
Jason Marshall Aiken Jr  
Oakley Todd Camille  
Amy Carol Canada  
Mack Graham Cheatham  
Joshua Charles Corbin  
Klaudia Jo Downs  
Katherine Leigh Albrecht  
Phillip Graceson Betts  
Samantha Jo Elliott  
Virginia Alexis Grammas  
Lee Ayers Sahlie

Benjamin Andrew Saway  
Savannah Grace Street  
Albert Lee Butler  
Bryce Peyton Roush  
Robert Russell Smith III  
Madeleine Chappell Yates  
Annabel Sandra Antoniak  
Morgan Brady Harris  
Jasmyn La Quitha Montgomery  
Loretta Ann Lane  
Victoria Maye Plott  
Brittney Caroline Pratt  
Owen Thomas Gavin  
Lauren Amy Amaral

## ARE YOU COMPLETING YOUR REGISTRATION FORM OR IS SOMEONE ELSE?

Each licensee is personally responsible for the accuracy of all items on the annual registration form. Additionally, each Resident Manager of a firm is responsible for the accuracy of all items on the firm registration. As such, each licensee and each resident manager should complete his or her own form, reviewing each item for accuracy before submission. Below is the statement that you are agreeing to when you sign your form (either digitally or physically), so make sure that you are the one reviewing and hitting that submit button for renewal of your license.

***I certify under penalty of perjury that all statements and information contained herein are true, accurate and correct in every aspect, to the best of my knowledge and belief; and that I have not suppressed any information that might affect my renewal, with full knowledge that the information submitted in this renewal may be grounds for disciplinary action against my certificate.***

# DISCIPLINARY ACTIONS

For a complete listing of disciplinary actions from 2002 to the present, please visit the [Board's website](#).

*The facts of each case vary greatly and the Board's ruling is based on the merit of each case.*

November 11, 2024

**NAMED CPA** DICKERSON, GLENN H  
**CERT NO.** 5149-R  
**CASE #** 24CPE-24  
**ACTION** N/A  
**FINE** \$7,500  
**DESCRIPTION** Failed CPE audit / fined \$7,500, resolve CPE for FYE 9/30/23, & complete CPT course all due w/in 30 days of Board approval.

**NAMED CPA** MACDONALD, LAMAR NICHOLAS  
**CERT NO.** 6306-R  
**CASE #** 24PR-7  
**ACTION** REVOKED  
**FINE** \$2,000  
**DESCRIPTION** Failing to enter into the peer review program / Fined \$2,000; Return certificate & pay fine w/in 30 days; Also must complete NASBA CPT Ethics course.

**NAMED CPA** POOSER, ARTHUR KEITH III  
**CERT NO.** 8920  
**CASE #** 24-13, 24-14, 24-16  
**ACTION** CENSURED  
**FINE** \$3,000  
**DESCRIPTION** Filed or extended two client's tax returns without client review or permission and made assurance that a third tax return would be filed but did not. All three clients made multiple attempts to contact but with no return communication. Failed to respond to Board inquiry letters. / This activity violates rules of professional conduct, conduct in general; dishonesty, fraud, or gross negligence in the practice of public accounting; and failing to respond in writing to any communication from the Board within thirty (30) days. / Must complete the following within 30 days of the Board approval: 1) pay \$3,000 fine; and 2) complete NASBA CPT Ethics course.

## HELPFUL LINKS



[Exam Application](#)



[Registration](#)



[Administrative  
Fine  
Payment](#)

## Upcoming Board Meetings

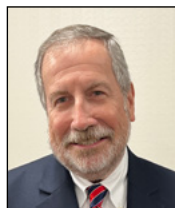
January 21, 2025 – Troy University  
 May 9, 2025 – Board Office  
 July 11, 2025 – Board Office  
 September 16, 2025 – Auburn University



# STATE BOARD OF ACCOUNTANCY MEMBERS



Rita Prince, CPA  
Chair  
Patterson Prince & Associates, LLC  
Florence, AL



Steven N. Smith, CPA  
Vice Chair  
Birmingham, AL



G. Alan Skinner, CPA  
Secretary  
Carr Riggs & Ingram, LLC  
Dothan, AL



Tod Etheredge  
Public Member  
Trustmark Bank  
Montgomery, AL



Michael J. Kintz, CPA  
Member  
Wilkins Miller, LLC  
Mobile, AL



Wilhelmus "Wim" J. Schaffers, CPA  
Member  
Amedex Assurance Company Corp  
Dadeville, AL



Connie Sheppard-Harris, CPA  
Member  
Sheppard-Harris & Associates, PC  
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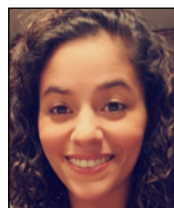
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